

541,292

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date
26 August 2004 (26.08.2004)

PCT

(10) International Publication Number
WO 2004/071645 A2

(51) International Patent Classification⁷: **B01J**

North Royalton, OH 44133 (US). **SHEKUNOV, Boris, Y.** [US/US]; 115 Woodland Trace, Aurora, OH 44202 (US). **SEITZINGER, Jeffrey, S.** [US/US]; 314 Lazzaro Drive, Broadview Heights, OH 44147 (US).

(21) International Application Number:
PCT/US2004/003575

(74) Agent: **CLARK, Kenneth, A.; Rankin, Hill, Porter & Clark LLP, Suite 700, 925 Euclid Avenue, Cleveland, OH 44115-1405 (US).**

(22) International Filing Date: 30 January 2004 (30.01.2004)

(25) Filing Language: English

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

(30) Priority Data:
60/445,901 7 February 2003 (07.02.2003) US

AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(71) Applicant (for all designated States except US): **FERRO CORPORATION** [US/US]; 1000 Lakeside Avenue, Cleveland, OH 44114 (US).

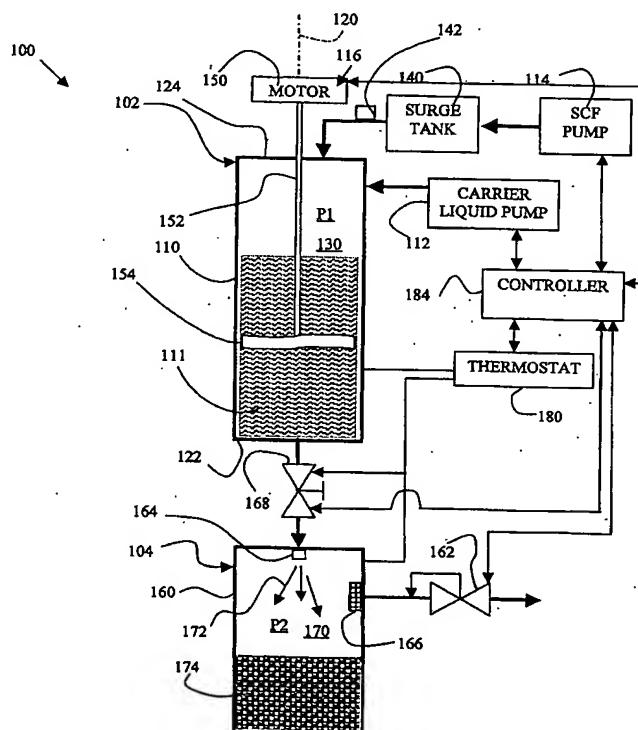
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH,

(72) Inventors; and

(75) Inventors/Applicants (for US only): **CHATTOPADHYAY, Pratibhash** [US/US]; 7729 C Oakhill Road,

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR SUPERCRITICAL FLUID ASSISTED PARTICLE PRODUCTION



(57) Abstract: The present invention provides an apparatus and methods of producing particles that include a polymer, a wax and/or lipid and, optionally, a biologically active substance. In accordance with the methods of the invention, a load stock including a polymer, a wax and/or a lipid that is a solid at standard temperature and pressure and, optionally, a biologically active substance is provided. The load stock is contacted with a supercritical fluid to form a melt. The melt is contacted with a polar solvent under suitable conditions to form an emulsion. The emulsion is expanded across a pressure drop to form solid particles that include the load stock. The methods and apparatus facilitate the production of very small particles that have a narrow particle size distribution.

WO 2004/071645 A2



GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.